

11/26/01

11-28-01

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.: SC11501TP P01
First Inventor: Sebastian Csutak et al.
Title: OPTICAL DEVICE AND METHOD THEREFOR
Express Mail Label No.: EL581727656US
(Only for new nonprovisional applications under 37 CFR 1.53(b))

US PTO
11/26/01APPLICATION ELEMENTS
(see MPEP chapter 600 concerning
utility patent application contents)

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form in duplicate
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ Specification Total Pages **29**
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R&D
-Reference to sequence listing, a table,
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
4. ☒ Drawing(s) Total Sheets **10**
Oath or Declaration
5. ☒ Newly executed (original or copy)
a. ☐ Copy from a prior application (37
CFR 1.63(d)) (for continuation/ divisional
with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet under 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large
table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-4 (2 copies);
ii. ☐ or paper
- c. ☐ Statements verifying identify of above
copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet &
document(s))
10. ☐ 37 CFR 3.73(b) ☐ Power of Attorney
Statement (when there is an assignee)
11. ☐ English Translation Document (if
applicable)
12. ☒ IDS **1** Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document
16. ☐ Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach
form PTO/SB/35 or its equivalent.
17. ☒ Other:
☒ References AA-AK
☒ Form PTO/SB/08

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary
amendment: ☐ Continuation ☐ Divisional ☒ Continuation-in- Part (CIP) Prior Appl. No. **09/846,086**
Examiner: **Unknown** Group/Art Unit: **2874**

Prior Appl. information:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the
disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been
inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **23125** or ☐ Correspondence address below

Name _____ Zip Code _____
Address _____ State _____ Fax _____
City _____ Telephone _____ Registration No. **35,896**
Country _____ Name **Susan C. Hill** Date **11/26/01**
SIGNATURE *Susan C. Hill*

FEE TRANSMITTAL

Patent fees are subject to annual revision

Complete if Known

Application Number

Filing Date

First Named Inventor

Sebastian Csutak

Examiner Name

Group Art Unit

TOTAL AMOUNT OF PAYMENT

(\$)**996.00**

Attorney Docket No.

SC11501TP P01

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

13-4773

Deposit Account Name

Motorola, Inc.

- ☒ Charge Any Additional Fee required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|
| 101 | 740 | 201 | 370 | Utility filing fee |
| 106 | 330 | 206 | 165 | Design filing fee |
| 107 | 510 | 207 | 255 | Plant filing fee |
| 108 | 740 | 208 | 370 | Reissue filing fee |
| 114 | 160 | 214 | 80 | Provisional filing fee |

SUBTOTAL (1) (\$)**740**

2. EXTRA CLAIM FEES

| Total Claims | Previously Paid** | Extra Claims | Fee from below | Fee Paid |
|--------------------|----------------------|-----------------|-------------------|----------|
| 32 | 20 | 12 | 18 | 216 |
| Independent Claims | 3 | 0 | 84 | 0 |
| Multiple Dependent | | | 280 | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | * Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | *Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**216.00**

**OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.

*For Reissues, see above

SUBMITTED BY

Name (Print/Type)

Susan C. Hill

Signature

Susan C. Hill

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 | Surcharge - late Provisional filing |
| 139 | 130 | 139 | 130 | Non-English specification |
| 147 | 2520 | 147 | 2520 | For filing a request for ex parte Reexamination |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 | Extension for reply within first month |
| 116 | 400 | 216 | 200 | Extension for reply within second month |
| 117 | 920 | 217 | 460 | Extension for reply within third month |
| 118 | 1440 | 218 | 720 | Extension for reply within fourth month |
| 128 | 1960 | 228 | 980 | Extension for reply within fifth month |
| 119 | 320 | 219 | 160 | Notice of Appeal |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal |
| 121 | 280 | 221 | 140 | Request for oral hearing |
| 138 | 1510 | 138 | 1510 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable |
| 141 | 1280 | 241 | 640 | Petition to revive - unintentional |
| 142 | 1280 | 242 | 640 | Utility issue fee (or reissue) |
| 143 | 460 | 243 | 230 | Design issue fee |
| 144 | 620 | 244 | 310 | Plant issue fee |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) |
| 126 | 180 | 126 | 180 | Submission of IDS |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application |

Other fee (specify)

* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$)**40.00**

Complete (if applicable)

Registration No.

35,896

Telephone

512.996.6839

Date

11/26/01